FINANCIAL ADVISORY AND ADVISER

ADDITIONAL ADVISER FORM

CORONATION
TRUST IS EARNED™

- Please send the completed form and documentation to **086 677 0007 (fax)** or transact@coronation.co.za
- Should you have any queries kindly call us on **0800 22 11 77** or **email** clientservice@coronation.co.za

I/We hereby apply for adviser number as a financial adviser to promote and market the Selected Products of Coronation.
This can only be applied for after the financial adviser application form has been authorised by Coronation.
Expressions used herein are defined in the Terms and Conditions for Appointment as Financial Advisory/Adviser (Annexure A).

As required by legislation, product specific training has been made available to advisers via FAIS Exchange. If an adviser is already registered on the FAIS Exchange platform, they can use their existing login details to register for the Coronation product specific training material and assessment. If an adviser is new to the FAIS Exchange platform and wish to complete his/her training, then please register them on their website: www.fais-exchange.co.za or contact them on support@fais-exchange.co.za. Please note that we do not need to wait for accreditations before issuing an adviser with a Coronation adviser number.

A: PRODUCT SELECTION

Please indicate which products you are applying for:

- ☐ South African Unit Trust Funds and Tax-Free Investments — Advisory number: ______________
- ☐ Offshore Investment Funds — Advisory number: ______________
- ☐ Life and Retirement Products — Advisory number: ______________

B: ADVISORY INFORMATION

Business status: Sole proprietor ☐ Company ☐ Close corporation ☐ Partnership ☐ Trust ☐

Trading name: ______________

Registration number: ______________

FSP license number: ______________

VAT number: ______________

Office postal address: ______________

______________ Postal code: ______________

Office physical address: ______________

______________ Postal code: ______________

Telephone number: (______) ______________ Cellphone number: (______) ______________

Email address: ______________

Contact person name: ______________

C: DETAILS OF FINANCIAL ADVISERS

Please attach a copy of ID and utility bill per adviser listed. Refer to section J.

Adviser 1

Title: ____________ Surname: ____________

First name(s): ____________

ID or Passport number (if foreign national): ____________

Income tax number: ____________

Cellphone number: (______) ____________ Work telephone number: (______) ____________

Email address: ____________

Adviser 2

Title: ____________ Surname: ____________

First name(s): ____________

ID or Passport number (if foreign national): ____________

Income tax number: ____________

Cellphone number: (______) ____________ Work telephone number: (______) ____________

Email address: ____________

Adviser 3

Title: ____________ Surname: ____________

First name(s): ____________

ID or Passport number (if foreign national): ____________

Income tax number: ____________

Cellphone number: (______) ____________ Work telephone number: (______) ____________

Email address: ____________

Coronation product specific training material and assessment can be found on the FAIS Exchange platform.

D: COMMUNICATION PREFERENCES

Email correspondence and notifications relating to client transaction processing to be sent to:

Financial adviser ☐ or Other ☐ : ____________

Email correspondence relating to advice fee payments to be sent to:

Financial adviser ☐ or Other ☐ : ____________

E: PAYMENT OF ADVICE FEES

You may choose to have your fees earned on the Local Unit Trust Funds invested into one of our funds. If this is not selected, the fees will be paid to the bank account detailed in section F.

If you wish to re-invest your fees, please provide your investor number ______________________
or complete an investor application form to have one created for you. Instructions for re-investment of fees payable by Coronation Management Company (RF) (Pty) Ltd ("Coronation") in respect of the Selected Products as referred to in Item A (A) 1 of Annexure A of the attached Terms and Conditions

FUND NAME	% Per Fund
	%
	%
	%
	%
	%

All funds listed above refer to the A-Class of the respective funds, except for Bond Fund and Smaller Companies Fund which refer to the R-Class, and Resources Fund and Industrial Fund which refer to P-Class.

Payments of fees for all other products will be paid directly into the bank account specified in section F or G as applicable.

F: LOCAL BANK DETAILS

These banking details will be used for the local unit trust funds, tax-free investments, life and retirement products per the selected products listed in items A (A) 1,2 and 3 of Annexure A detailed on the attached Terms and conditions.

Account holder name: ______________________

Bank: ______________________ Account number: ______________________

Branch code: ______________________ Type of account: Current/Cheque ☐ Savings ☐

Signature of authorised signatory*:
* Please forward proof of authorisation

SIGN WITHIN THE BOX

All payments from Coronation will be electronically transferred into this account. If the bank account provided does not match our records, there may be a delay in processing this instruction. To ensure your security, a consultant from Coronation will call you to confirm your personal authorisation.

G: OFFSHORE BANK DETAILS

These banking details will be used for the Offshore Investment Funds per the selected products listed in items A (A) 4 of Annexure A detailed on the attached Terms and conditions.

Beneficiary Bank Account Details

Bank name: ______________________________

Bank address: ______________________________

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Branch/sort code: ______________________________ Swift code: ______________________________

Account holder name: ______________________________

Account number: ______________________________ Account currency: ______________________________

Correspondent Bank Account Details

(A correspondent bank acts as a point of contact for another bank in a country or state where the second bank does not have a branch or agency).

Bank: ______________________________

Bank address: ______________________________

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Branch name: ______________________________ SWIFT code: ______________________________

Signature of authorised signatory*:
* Please forward proof of authorisation

SIGN WITHIN THE BOX

All payments from Coronation will be electronically transferred into this account. If the bank account provided does not match our records, there may be a delay in processing this instruction. To ensure your security, a consultant from Coronation will call you to confirm your personal authorisation.

H: DECLARATION

I/We

- hereby consent, where this is applicable to me/us as registered VAT vendor(s), to the use by Coronation of self-invoicing, and confirm that I/we will not issue tax invoices, debit notes or credit notes in respect of the fees payable to me/us by Coronation.
- undertake to advise Coronation in writing of changes in VAT vendor status.
- warrant the above information to be correct. Coronation shall not be liable for any loss or damage suffered on account of incorrect information provided by me/us or as a result of a change in my/our information or my/our misrepresentation or my/our involvement in any fraudulent act.
- undertake to advise Coronation in writing should any of the details completed herein change subsequent to signature hereof by me/us.
- further acknowledge and accept that this Application form, together with the Terms and Conditions (Annexure A), form the basis on which Coronation appoints me/us, and I/we agree to be bound by the said Terms and Conditions.
- accept that Coronation may authorise my/our identity via a credit bureau.
- accept that Coronation reserves the right to not appoint me/us as financial adviser for whatever reason.
- acknowledge and accept that the Agreement will only be concluded when an authorised representative of Coronation has signed this Finanacial Adviser Form and I/we have received confirmation in writing from Coronation that the Agreement has been concluded.

Signed at: ______________________ on this __________ day of ___________ year __________

1st Authorised Signatory: ____________________ SIGN WITHIN THE BOX

2nd Authorised Signatory: ____________________ SIGN WITHIN THE BOX

ACCEPTANCE BY CORONATION - FOR OFFICE USE ONLY

Date: ______________________________

Name: ______________________________

Capacity: ______________________________

Signed: ______________________________

J: SUPPORTING DOCUMENTATION

(Copies of the supporting documentation are sufficient as long as all text and photographs are clear and legible).

The following outlines the supporting documentation required in terms of FICA, as well as other documents required by Coronation. This documentation is necessary in order for Coronation to verify details listed in this application form.

Natural persons:

- [ ] ID document (SA residents) / passport (foreign nationals)
- [ ] Proof of physical residential address (e.g. bank statement, utility bill or telephone account less than three months old)

PLUS, the below if applicable:

- [ ] Proof of Signatories (example a resolution signed by all directors providing the authorised signatory to act on behalf of the company with regards to the FSP contract) where applicable

K: NEXT STEPS AND OTHER INFORMATION

- Please send the completed form and documentation to transact@coronation.co.za or 086 677 0007 (fax). A member of our client service team will contact you if more information is required.
- Adviser numbers will be available five business days after we have received a fully and correctly completed application form as well as all the necessary supporting documentation. As confirmation thereof you will receive a welcome letter via email.
- Our digital investment platform allows Financial Advisers and Adviser Assistants to register for their own profile and then link adviser codes with either view only or full access. Upon approval you may then view and download reports; view your clients' information and submit transactions on a clients' behalf.
- Clearance periods apply to all new investments. The length of the period is determined by the payment method that the client selects. Initial advice fees are paid after the clearance period. Investments funded by an eft payment are subject to a 7-day clearance period, and investments funded by Coronation debiting the clients bank account directly are subject to a 40-day clearance period.
- Advice fees are paid every Wednesday, provided the Wednesday is a business day. Advice fees accumulate until the minimum payment threshold is reached:

Product Stream:	Advice fee minimum payment threshold:
South African Unit Trust & Tax-Free Investments	R500 per adviser
Life & Retirement Products	R200 per advisory per product
Offshore Investment Funds	USD100, GBP100 and EUR100 per adviser

Initial advice fees are paid the Wednesday after the investor's clearance period has ended. Monthly ongoing advice fees are paid either the first or second Wednesday after month-end. An advice fee statement detailing the transactions will be sent whenever a payment is made. The same process and timelines apply to advisers who have chosen to have their advice fees reinvested into one of our domestic funds.

- Product information, investment quotes, comprehensive fact sheets, investor application forms and fee schedules are available on Coronation's website.
- Should you have any queries, kindly email clientservice@coronation.co.za or call us on 0800 22 11 77.

Coronation Management Company (RF) (Pty) Ltd | Registration Number: 1995/010002/07 | SARS Registration Number: 9589/107/71/4